ARIZONA DEPARTMENT OF HOMELAND SECURITY

FFY 2019 Homeland Security
Grant **I**nformation **S**eminar
November 2018

Housekeeping

- Restrooms

- Cell phones

- Questions

What We're Going to Cover

- What is the Homeland Security Grant Program?

- Why so early this year?

- Application Requirements

- Funding Priorities

- Application Review

- Helpful Hints

- Training/Exercise Update

What is the Homeland Security Grant Program?

- Umbrella DHS/FEMA program that includes:

  - State Homeland Security Grant Program (SHSGP)

  - Urban Areas Security Initiative Grant Program (UASI)

  - Operation Stonegarden Grant Program (OPSG)

  - Focus will be on SHSGP

**The SHSGP assists state, Tribal and
local preparedness activities that address high-priority preparedness gaps
across all core capabilities where a <u>NEXUS</u> to <u>terrorism</u> exists.**

Definition of Terrorism

PUBLIC LAW 107–296—NOV. 25, 2002

The term "terrorism" means any activity that—

(A) involves an act that—

(i) is dangerous to human life or potentially destructive of critical infrastructure or key resources; and

(ii) is a violation of the criminal laws of the United States or of any State or other subdivision of the United States; and

(B) appears to be intended—

(i) to intimidate or coerce a civilian population;

(ii) to influence the policy of a government by intimidation or coercion; or

(iii) to affect the conduct of a government by mass destruction, assassination, or kidnapping.

Applicant Eligibility

Eligible

- State government agencies
- Local units of government
  - Municipal/County law enforcement
  - Fire departments/Fire districts
  - County agencies
- Tribal governments

Not Eligible

- Nonprofit entities
- For-profit businesses

Why So Early This Year?

Election year

Continuing resolution until December 7, 2018
- Includes some agency budgets, but not DHS

Anticipation of earlier budget approval from Congress
- FFY 2018 Notice of Funding Opportunity (NOFO) released May 21, 2018

- FFY 2018 Federal application was due June 20, 2018
  - 30 day submission period

AZDOHS process

- Four months to complete

- Project based application to DHS

What We Know So Far

President's budget includes

- Funding cut

- 25% match

Congressional budget and President's budget rarely coincide

Federal Priorities

Federal priorities are based on the State Preparedness Report (SPR) and current threats

- **Communications**

- **Soft Target Hardening**

- **Cybersecurity**

Federal Priorities - Communications

Communications

Align with Statewide Communications Interoperability Plan (SCIP)

- Comply with SAFECOM Guidance

- Must test emergency communications in conjunction with exercises

- **Core Capability - Operational Communications**

Federal Priorities - Soft Target Hardening

Soft Targets and Crowded Places (ST-CP)

- ST-CP have growing threats against them

    - Soft Targets/Public Gatherings

    - Critical Infrastructure/Key Resources

    - Threat and Vulnerability Assessments

- Identify security gaps and build capabilities that address those security needs

Federal Priorities - Soft Target Hardening

- Examples of soft target hardening:

  - Mobile crash/jersey barriers

  - Bollards

  - Gates

  - Concrete/landscape features (6 foot planters, boulders, reinforced park benches, etc.)

  - Camera systems

- **Core Capability – Physical Protective Measures**

Federal Priorities - Cybersecurity

FEMA Information Bulletin 429a Cybersecurity

Planning

- Development of cybersecurity strategies; IT security plans; cybersecurity incident response plans; IT disaster recovery plans

- Risk/configuration assessments

Organization

- **Full time employees** - CISO, ISSO, network administrators, cybersecurity analysts (must show a net increase in FTE's)

- **Contracted support** – cybersecurity service contractors

Federal Priorities - Cybersecurity

Equipment

- **Software -** anti-virus, anti-malware, continuous monitoring, encryption, enhanced remote authentication, patch management, distributed denial of service protection

- **Hardware -** intrusion detection systems, intrusion prevention systems (firewalls), additional servers, routers/switches

- **Physical Protection -** fencing, cameras, locks (including electronic), biometrics readers, etc., to protect access to hardware and systems

CANNOT SUPPLANT NORMAL OPERATING BUDGET

Federal Priorities - Cybersecurity

Training

- **Awareness level training -** Internal or external design, conduct, and evaluation of awareness-level training

- **Cybersecurity professional training -** Internal or external design, conduct, and evaluation of professional-level cybersecurity training

Exercise

- **Awareness drills -** Drill preparation, conduct, and evaluation

- **Response/recovery exercises -** Exercise preparation, conduct, and evaluation

- **Core Capability – Cybersecurity**
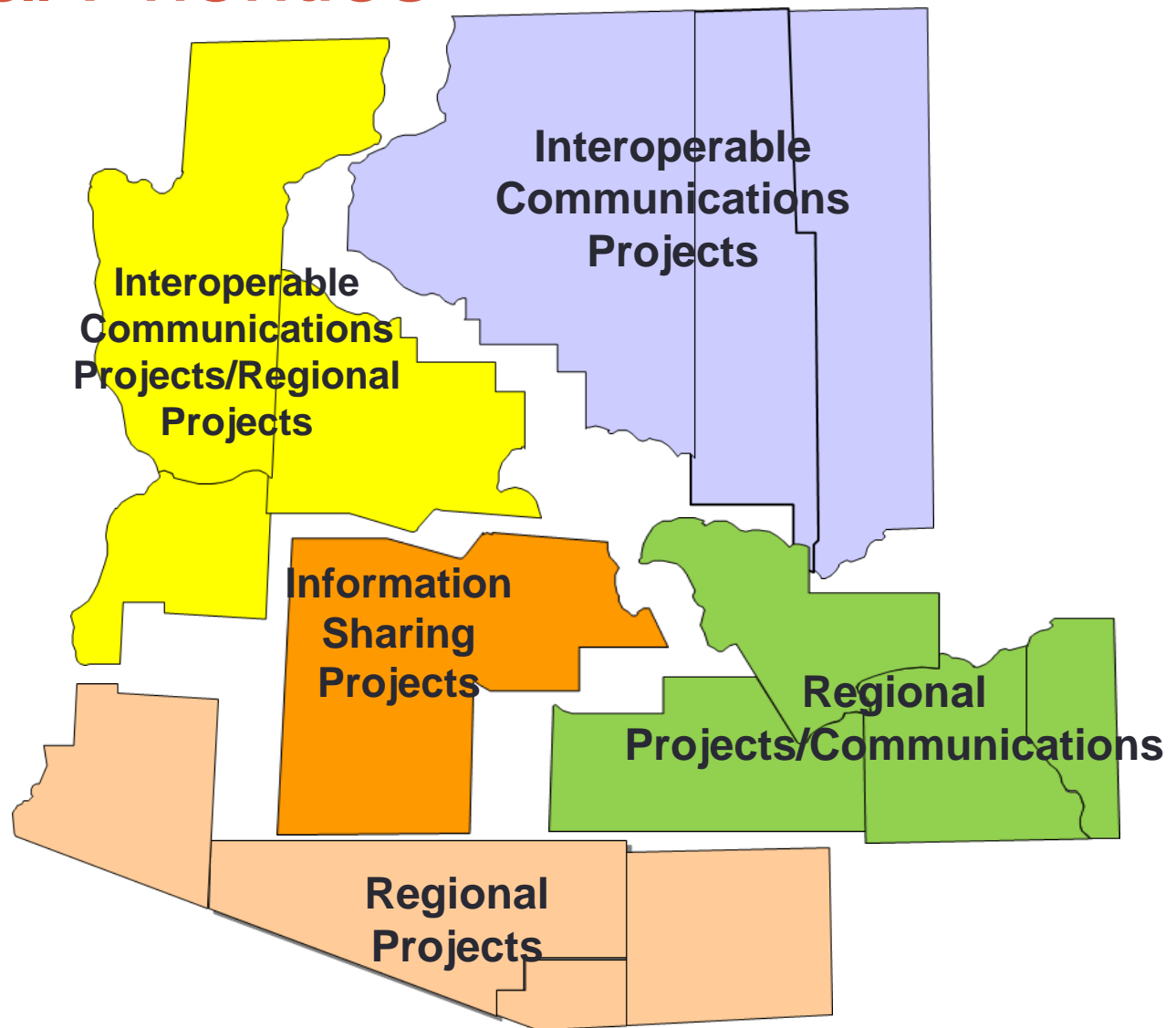
Ballistic Protection Equipment

- Ballistic protection equipment is now allowable for fire agencies where a need can be demonstrated

- Fire agencies **MUST**:

  - partner with law enforcement agencies for buy-in

  - create standard operating procedures (SOPs) for use of equipment

  - receive training

- Resource - U.S Fire Administration – Fire/EMS Department Operation Considerations and Guide for Active Shooter/Mass Casualty Incidents

Regional Priorities

Regional Funding Guidelines

- Provide applicants an idea of what may be recommended for funding

- Assist Regional Advisory Councils (RACs) in recommendation process

- Reviewed/revised annually

- Available at: https://azdohs.gov/regional-advisory-council

Regional Priorities

Funding Allocation

	2017	2018	% Change
SHSGP	$4,551,000	$3,980,000	-13%
UASI	$5,180,000	$4,000,000	-23%

80% Pass Through

- Risk Formula
  - 30% Baseline
  - 70% Risk
    - Population/Critical Infrastructure/Border Nexus

FFY 2018 SHSGP Regional Allocations
Period of Performance: 10/01/2018 – 09/30/2019

North Region
- ✓ $318,806
- ✓ 13 out of 22 Projects Funded

East Region
- ✓ $422,712
- ✓ 10 out of 13 Projects Funded

West Region
- ✓ $421,265
- ✓ 10 out of 26 Projects Funded

Central Region
- ✓ $1,195,873
- ✓ 23 out of 39 Projects Funded

South Region
- ✓ $825,344
- ✓ 14 out of 16 Projects Funded

State
- ✓ $329,700
- ✓ 4 out of 10 Projects Funded

2019 Applications

Deadline for submitting 2019 SHSGP/UASI grant applications

FFY 2019 Homeland Security Grant Timeline
State Homeland Security Grant Program (SHSGP) and STATE AGENCIES
*Tentative

| November 5-7, 2018 | • AZDOHS Grant Information Seminars (Flagstaff, Glendale, Tucson) |

| November 5, 2018 | • Application Submission Period opens |

| **December 21, 2018** | • **Stakeholders Electronically Submit Grant Applications to AZDOHS, NLT 5:00 pm Friday, December 21, 2018** |

| December 24, 2018 – January 11, 2019 | • AZDOHS Programmatic and Financial Review of Grant Applications
• Working Groups Review/Comment on Grant Applications |

| January 22 – February 7, 2019 | • RAC Funding Recommendation Meetings |

| February 7 – February 15, 2019* | • AZDOHS Writes State Application
• AZDOHS Reviews and Submits Final Federal Application to USDHS |

| August-September 2019* | • USDHS Announces Awards
• Final Funding Meetings with RACs
• Senior Advisor Committee (SAC) Review
• Final Awards to Stakeholders (NLT 45 days after official Grant Award Notice) |

| October 1, 2019* | • Period of Performance Start Date |

Where to Apply

Online Application

- www.azdohs.gov
  - SHSGP page
  - UASI page

Must have account to apply

- Most stakeholders already have an account
- No need to re-register!

New Applicants

- Register at: https://azdohs.gov/applicant/register
- Account must be verified and activated by Strategic Planner before access is granted

Important Information

ONE SUBMISSION PER ORGANIZATION FOR:

- Financial Forms
  - Standard Data Collection
  - FFATA
  - Financial Systems Survey

- NIMS Compliance Certification

Important Information

Streamlined questions

- Explain nexus to terrorism

Alignment to THIRA/SPR

- Mission Area
- Core Capabilities
- Capability Targets
- POETE
- Gaps

Successful Application

Submit on time (December 21, 2018 by 5:00 pm)

Conduct internal peer review

- Complete all required questions

- Does your response directly answer the question?

- Identify areas where additional information is needed…and then provide it

Successful Application

Do not make assumptions

- AZDOHS review (equipment allowability/programmatic/financial)

- IWG review (communications projects)

- DEMA review (training and exercise requests)

- RAC/UASI review

- Director review

- FEMA/DHS review

APPLICATION REVIEW

Applications must <u>demonstrate</u> how they will:

Support terrorism preparedness

Address capability gaps identified as State priorities in the most recent State Preparedness Report (SPR)

- Meet Capability Targets

- Close functional area gaps

Applications must <u>explain</u> how they will:

- Prevent/Protect/Mitigate/Respond/Recover from a threatened or an actual act of terrorism;

- Protect citizens, residents, visitors, and assets against the greatest threats and hazards, relating to acts of terrorism; and/or

- Respond quickly to save lives, protect property and the environment, and meet basic human needs in the aftermath of an act of terrorism or other catastrophic incident;

- **Prepare for all hazards and threats, while explaining the nexus to terrorism preparedness.**

Application Considerations

➤ Applications must have a nexus to terrorism

➤ Applications must align to a Mission Area, a Core Capability, a Capability Target and at least one gap from the SPR

➤ Milestones must be clear and communicate how you will successfully manage the project

  ➤ **Milestones and status updates are reported to DHS/FEMA if project is funded**

➤ If the project is not recommended for initial funding, it may be funded with reallocation funds

Funding and Deobligations

Top 3 Reasons for Deobligations

- ➢ Did not obtain price quote during application phase

- ➢ Price fluctuation during time period between application and award/execution of award

- ➢ Needs changed

- Deobligated funding reallocated to current projects that were partially funded or unfunded (exception: regional training and exercise projects)

Helpful Hints – Threat Profile

How does the project assist your agency in preventing/protecting against/ mitigating/responding to/recovering from all hazards events and threats as it relates to the terrorism threat you selected?

- More **DETAIL** as to what you are requesting and desired accomplishments
- More emphasis on **HOW** the project will address the terrorism threat

Helpful Hints – Threat Profile

How will this project help your agency to achieve the selected capability target?

- Explain **SPECIFICALLY** how the project moves your agency closer to achieving the capability target

Helpful Hints –
Factors Affecting Allowability of Costs

Allowable	Allocable	Reasonable	Necessary
• AEL • Authorized by law or regulation	• Falls into POETE • CFRs • Within scope of the grant	• Does not exceed what a prudent person would incur in the circumstance	• A cost that is required for proper and efficient performance of the grant

Helpful Hints - Equipment

- Include AEL numbers and be detailed with your descriptions

    - Do not leave your application up to inference/assumptions

- **Do not** use brand names/model numbers

    - Ex: Motorola APX 7500

- Contact Michael Stidham for equipment/AEL related questions at (602) 542-7041 or mstidham@azdohs.gov

- If EHP B, build the review and approval time into your milestones

Funding Parameters/Guidelines for Radio Communications Equipment

Radios **must**:

- Comply with SAFECOM Guidance
- Support SCIP Initiatives
- Be P-25 Capable
- Be Narrowband compliant
- Have a minimum of 48 channels
- Be programmed with the Arizona State Interoperable Priority Programming Guide
- Comply with the LMR Minimum Radio Standards
- For additional information contact the SWIC, Jeremy Knoll at (602) 223-2106 or SWIC@azdps.gov

Supplemental Radio/Interoperability Form

- For radio/communications-specific projects only (06CP AEL)
- Must answer detailed questions regarding your radio system
- Upload within application

Helpful Hints – Prohibited Equipment

Requests for the following types of equipment will be disallowed:

- Drones

- Weapons

- Ammunition

- General use software

Helpful Hints - Training

- Each training event must be listed separately and must include the training name and description

    - Note: Conferences are considered training

- Travel reimbursement is limited to the State rate (https://gao.az.gov/sites/default/files/5095%20Reimbursement%20Rates%20%20181001.pdf)

- ***<u>Only include those training events/conferences that you plan to attend during the period of performance</u>***

Helpful Hints –
Planning/Organization/M&A

- Planning/Organization/M&A

  - Each activity must be listed individually

  - Be clear with your needs

  - If requested, M&A is calculated as part of the total project cost and cannot exceed 5% of the total project cost

Helpful Hints – Partial Funding

- Partial Funding

  - Many RACs recommend partial funding for projects due to the limited funding available

  - Require detailed information of the funding priorities
    - Total amount of partial funding that is acceptable to make meaningful progress
    - Emphasis on **quantities** (if equipment)
    - Emphasis on **costs**

  - If you say no to the partial funding question, your project may not be recommended for funding
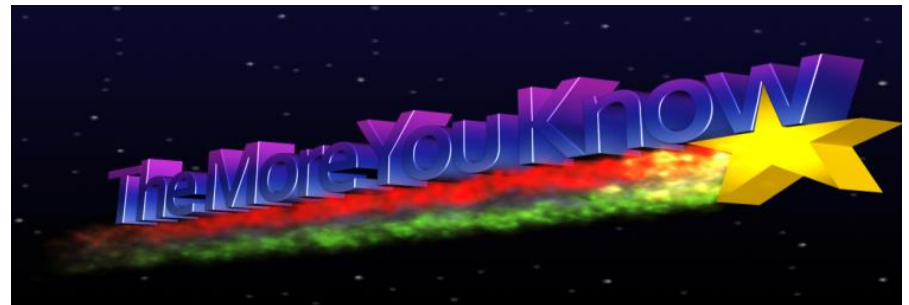
Final Considerations

- Must demonstrate nexus to terrorism

- Tell the story of your project - applications stand alone

- Be as detailed as you can without including brand names in your equipment descriptions

- APPLY! You have needs that relate to terrorism preparedness!

Final Considerations

- Scoring Rubric
  - 2 CFR 200.204 Review of Merit of Proposals

- 12 Month Performance Period

- Longer term projects are accepted

- If you have questions, ASK YOUR STRATEGIC PLANNER

References

- **Applicants are responsible for reading and understanding the grant resource material**
- https://www.fema.gov/preparedness-non-disaster-grants
  - Includes links to:
    - Authorized Equipment List (AEL)
    - Information Bulletins
    - NOFOs (i.e. federal grant guidance)
- http://azdohs.gov/grants
  - Includes links to:
    - Regional Grant Funding Guidelines
    - Grant Information Seminar PPTs
    - Helpful Hints
    - Radio Questionnaire
    - SAFECOM Guidance

Next Steps

- Register for online application account (if you don't already have one)

- Draft and submit applications by Friday, December 21, 2018 at 5:00 pm

- Be prepared to answer any questions that come out of the various reviews conducted

- Await notification of award (email sent in mid- to late-September)

Regional Advisory Council (RAC) Meetings

- Open meetings

- West RAC – Wednesday 1/23/2019 Parker

- North RAC – Thursday 1/24/2019 Flagstaff

- South RAC – Tuesday 1/29/2019 Tucson

- East RAC – Thursday 1/31/2019 Globe

- Central RAC – Thursday 2/7/2019 Phoenix

- Agendas will be posted to the AZDOHS website:

  https://azdohs.gov/meeting-notices

Questions?

North and West Regions/State Agencies
Susan Dzbanko, Assistant Director
602-542-1777 or sdzbanko@azdohs.gov

Central and East Regions/Phoenix UASI
Nicole Munson, Strategic Planner
602-542-7014 or nmunson@azdohs.gov

South Region/Operation Stonegarden
William Seltzer, Strategic Planner
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